

Optimize your AML program and enrich your compliance risk management

Unparalleled expertise to drive your compliance program forward

Generate more business value from your AML program

High customer satisfaction and business value hinge on clearly understanding customer and sanctions risk, which only a mature and robust AML compliance program can deliver.

Aligning your compliance framework with business objectives builds confidence in customer onboarding and throughout the customer lifecycle while fostering strong partnerships between the business and compliance. In an ever-changing compliance landscape, rich expertise and top-tier AML technology, thorough assessments, and tailored implementations are essential to meet your evolving global needs.

FinScan Advisory Services provides deep subject matter expertise and industry-leading AML and data quality technology to help organizations improve their AML compliance procedures, processes, and policies and ensure they keep pace with changing regulations. We focus on enhancing controls frameworks to help organizations onboard customers confidently while identifying and mitigating risks through the customer lifecycle. We also assist clients in identifying perceived threats and vulnerabilities in their AML compliance programs.

Supercharge your compliance risk management

Staying up-to-date with constantly evolving regulations and technology is essential for effective compliance risk management. Having a trusted advisor to help you navigate the twists and turns of today's regulatory mandates is essential to meet these requirements while shifting compliance risk management from a series of constraints to useful guardrails on the road to achieving business value.

Be more confident when onboarding customers and throughout the customer lifecycle while mitigating risks, perceived threats, and other vulnerabilities more efficiently and effectively.



Our Advisor Services can help you to:



Discover

Find out what's working and what's not through assessment, measurement, and testing.



Develop

Create a plan of action to optimize your people, processes, and technology.



Deploy

Implement your enhanced AML and sanctions program to strengthen compliance risk management and improve efficiencies by reducing false positives, increasing productivity, and identifying potential hidden risks.

Chart your path to greater efficiency and better results

Our comprehensive approach can focus on each of the essential components of your AML and sanctions compliance risk management and programs — and help your organization achieve a more robust and optimized AML compliance program.

Discover

Get a deeper understanding of your current:

- Compliance Culture
- Risk Framework (regulatory/compliance, technology)
- AML/Sanctions Program
- Policies and Procedures
- Technology
- Data Quality and Governance
- Models and Documentation
- Organization and Staffing
- Training Program and Content
- Monitoring and Testing Procedures, Controls, and Application Processes
- Independent Testing Program

Develop

Create and fine-tune your programs and frameworks for:

- Code of Conduct
- Training
- Risk Assessment
- Policies and Procedures
- Reporting
- Data Governance
- Internal Product Development
- Organization Structure (SPoC)
- Performance Standards
- Monitoring and Testing

Deploy

Achieve greater efficiency and better results with:

- Program Execution
- Staff Performance Tracking
- Training and Content
- KRI/KPI Reporting
- Technology Implementation

FinScan Advisory Services can help you to:



Enhance and document your risk assessment



Align your AML and sanctions programs to global and regional requirements and business activities



Assess risk mitigation efforts through documented policies, procedures, and evaluation of internal controls



Improve data governance and data quality



Remediate and validate audit and examination findings



Take the first step

Let's have a conversation about your business needs and regulatory environment. Contact us to set up an introductory call.

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